

#5/205
10/11/02

11040 U.S. PTO
09/898018
07/05/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of
Per GRANESTRAND et al.
Application No.: UNASSIGNED
Filed: July 5, 2001
For: OPTICAL DEVICE AND
FABRICATION THEREOF

Group Art Unit: UNASSIGNED
Examiner: UNASSIGNED

INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the
above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth
in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☒ A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R.
§§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to
Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:

Kenneth B. Leffler
Registration No. 36,075

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

Date: July 5, 2001

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

International-Type Search Report No. SE00/00818, mailed March 7, 2001 in connection with counterpart Swedish Application No. 0002580-9.

Documents cited in the International-Type Search Report:

1. U.S. Patent No. 4,901,123
2. U.S. Patent No. 5,223,722
3. U.S. Patent No. 5,917,972
4. U.S. Patent No. 6,091,755
5. EP 0637111 A1
6. EP 0851548 A1
7. SE 9902916-7
8. JP 10300959

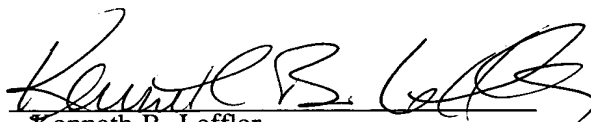
This Information Disclosure Statement contains information which is not in the English language but was cited in a search report or other action by a foreign patent office in a counterpart foreign application. In accordance with M.P.E.P. § 609 A(3) an English language version of the search report or action which indicates the degree of relevance found by the foreign office is being submitted herewith.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: 
Kenneth B. Leffler
Registration No. 36,075

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTORNEY'S DKT NO. 032822-014	APPLICATION NO. UNASSIGNED
	APPLICANT Per GRANESTRAND et al.	
	FILING DATE July 5, 2001	GROUP UNASSIGNED

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U.S. PATENT DOCUMENTS				
Examiner Initials	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication (MM-DD-YYYY)
	Number	Kind Code (if known)		
	4,901,123			
	5,223,722			
	5,917,972			
	6,091,755			

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	no
	0637111 A1		Europe			
	0851548 A1		Europe			
	9902916-7		Sweden			X
	10300959		Japan			

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
Examiner Signature		Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.